PTO/SB/21 (05-03)

Appro a for use through 04/30/2003. OMB 0651-0031
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number **Application Number** 09/887.997 TRANSMITTAL Filing Date June 22, 2001 **FORM** First Named Inventor Quake, Stephen R. (to be used for all correspondence after initial filing) Art Unit 1765 **Examiner Name** Robert M. Kunemund Number of Pages in This Submission 6 Attorney Docket Number 20174C-004900US ENCLOSURES (Check all that apply) Fee Transmittal Form Drawing(s) After Allowance Communication to Group Appeal Communication to Board of Appeals Fee Attached Licensing-related Papers and Interferences Petition Appeal Communication to Group (Appeal Amendment/Reply Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) Extension of Time Request (please identify below): Request for Refund 1. Return Postcard; and Express Abandonment Request CD, Number of CD(s) 2. A copy of each of 5 cited references. Supplemental Information Disclosure Statement (2 pgs) w/attached PTO/SB/08A (1 pg) and PTO/SB/08B (1 pg) citing references 1-The Commissioner is authorized to charge any additional fees to Deposit Certified Copy of Priority Account 20-1430. Remarks Document(s) Response to Missing Parts/ Incomplete Application \* Note: Reference not included in the total number of pages above. Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm Kent J. Tobin Reg. No. 39,496 Individual Signature Date CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below Typed or printed name **TIFFANY WU** 

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date

Signature

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Attorney Docket No.: 20174C-004900US
Client Reference No.: CIT No.: 3484

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on 6/14/04

TOWNSEND and TOWNSEND and CREW LLP

IN IT

Tiffany Wu
THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Quake et al.

Application No.: 09/887,997

Filed: June 22, 2001

For: HIGH THROUGHPUT

SCREENING OF CRYSTALLIZATION

OF MATERIALS

Examiner: Robert M. Kunemund

Art Unit: 1765

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37

CFR §1.97 and §1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The references cited on attached forms PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

08/30/2004 ZJUHAR1 00000022 201430 09867997

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Quake et al.

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As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance.

Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Kent J. Tobin Reg. No. 39,496

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KJT:rms 60288506 v1

Substitute for form 1449A/PTO		Complete if Known	
	Application Number	09/887,997	
INFORMATION DISCLOSURE	Filing Date	June 22, 2001	-
STATEMENT BY APPLICANT	First Named Inventor	Quake, Stephen R.	
_	Art Unit	1725	
(use as many sheets as necessary)	Examiner Name	Robert M. Kunemund	
Sheel 1 of 2	Attorney Docket Number	20174C-004900US	

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TAOE!		Document Number						
Examiner Initials*	Cite No.1	Number Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
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FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Fo	reign Patent Doo	cument	Publication Date MM-DD-YYYY	Name of Patentee or	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
Initials*	No.1	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)		Applicant of Cited Document		T <sup>6</sup>
	1	wo	99/04361	A1	01-28-1999	Gester et al.		
	2	wo	00/00678	A1	01-06-2000	Hol et al.		
	3	wo	01/09595	A2	02-08-2001	Stewart et al.	·	
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Examiner Signature	·	Date Considered	

Substitute for form 1449B/PTO				Complete if Known		
INFO		DIC	CLOCUDE	Application Number	09/887,997	
INFORMATION DISCLOSURE				Filing Date	June 22, 2001	
STATEMENT BY APPLICANT			PPLICANT	First Named Inventor	Quake, Stephen R.	
				Art Unit	1725	
(use as many sheets as necessary)		Examiner Name	Robert M. Kunemund			
Sheet	2	of	2	Attorney Docket Number	20174C-004900US	

NON PATENT LITERATURE DOCUMENTS							
Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	5	Ward et al., Automatic Preparation of Protein Crystals Using Laboratory Robotics and Automated Visual Inspection, Journal of Crystal Growth 90 (1988), pp. 325-339.					
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Examiner		Date	
Signature		Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.